

IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

_____	X	
	X	
OLIVER L. VELEZ	X	
	X	Case No.: 1:07-cv-08524-AKH
	X	
Plaintiff,	X	<b>FINRA’S RESPONSE TO</b>
	X	<b>PLAINTIFF’S MOTION</b>
-against-	X	<b>TO REMAND</b>
	X	
FINANCIAL INDUSTRY REGULATORY	X	
AUTHORITY, GREG CAPRA AND	X	
PRISTINE CAPITAL HOLDINGS, INC.	X	
	X	
Defendants.	X	
_____	X	

Defendant Financial Industry Regulatory Authority, Inc. (FINRA) submits this Response to the Plaintiff Oliver Velez’ motion to remand this action to the Supreme Court for New York County.

FINRA takes no position on plaintiff’s motion to remand. The case was removed by the other defendants before FINRA filed its responsive papers. To assist the court in its evaluation of diversity jurisdiction, FINRA is a Delaware corporation with its principal place of business in Washington, DC.

Dated: November 20, 2007  
Washington, D.C.

Respectfully submitted,  
Financial Industry Regulatory  
Authority, Inc.

/s/

---

Terri L. Reicher TR-8260  
Associate General Counsel  
Financial Industry Regulatory Authority, Inc.  
1735 K Street, N.W.  
Washington, D.C. 20006  
Telephone (202) 728-8967  
Telecopier (202) 728-8894

Attorney for Defendant  
Financial Industry Regulatory Authority, Inc.